

04-28-01

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

for new nonprovisional application under 37 C.F.R. § 1.53(b)

Attorney Docket No.	IN-5384
First Named Inventor	William C. NORRIS
Filing Date	Thursday, September 27, 2001
Title	COATING SYSTEM AND METHOD FOR COATING A SUBSTRATE WITH A POWDER-BASED COATING COMPOSITION INCLUDING A COLOR EFFECT-PROVIDING PIGMENT
Express Mail Certificate	EK894923 445US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application, Washington, DC 20231

1. ☒ **Fee Transmittal Form (e.g. PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. ☐ **Applicant claims small entity status.**
See 37 CFR 1.27.
3. ☒ **Specification** [Total Pages **28**]
-(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Application
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Background of the invention
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ **Drawing(s)** (35 U.S.C.113) [Total Sheets ☐]
5. ☐ **Oath or Declaration** [Total Sheets ☐]
 - a. ☐ **Newly executed (original or copy)**
 - b. ☐ **Copy from a prior application (37 CFR § 1.63(d))**
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
6. ☐ **Application Data Sheet.** See 37 CFR 1.76

7. ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a. ☐ **Computer Readable Form (CRF)**
 - b. **Specification Sequence Listing on:**
 - i. ☐ **CD-ROM or CD-R (2 copies); or**
 - ii. ☐ **paper**
 - c. ☐ **Statements verifying identity of above copies**

ACCOMPANYING APPLICATION PARTS

9. ☐ **Assignment Papers (cover sheet & document(s))**
10. ☐ **37 CFR§3.73(b) Statement (when there is an assignee)** ☐ **Power Of Attorney**
11. ☐ **English Translation Document (if applicable)**
12. ☐ **Information Disclosure Statement (IDS)/ PTO-1449** ☐ **Copies of IDS Citations**
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503)**
(Should be specifically itemized)
15. ☐ **Certified Copy of Priority Document(s)**
(if foreign priority is claimed)
16. ☐ **other:**

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No. 0 filed on

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ **Customer Number or Bar Code Label**

or ☐ **Correspondence address below**



26922

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin	Registration No. (Attorney Agent)	33,772		
Signature	<i>Anne G. Sabourin</i>			Date	September 27, 2001


FEE TRANSMIT Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997	Attorney Docket No.	IN-5284
	First Named Inventor	William C. NORRIS et al.
	Filing Date	Thursday, September 27, 2001
	Title	COATING SYSTEM AND METHOD FOR COATING A SUBSTRATE WITH A POWDER-BASED COATING COMPOSITION INCLUDING A COLOR EFFECT-PROVIDING PIGMENT
Express Mail Certificate		EK894923445US

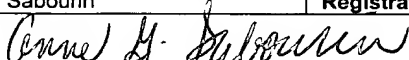
TOTAL AMOUNT OF PAYMENT	\$1,160.00
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METHOD OF PAYMENT	FEE CALCULATION
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<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to change indicated fees and credit any over payments to:</p> <p>Deposit Account Number 23-3425</p> <p>Deposit Account Name BASF Corporation</p> <p><input checked="" type="checkbox"/> Change Any Additional Fee <input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p>FEE CALCULATION</p> <table style="width:100%;"> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th></th> <th></th> </tr> <tr> <td>101</td> <td>710</td> <td>Utility filing fee</td> <td>\$710.00</td> </tr> <tr> <td>106</td> <td>330</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>540</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>790</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (2)</td> <td>\$710.00</td> </tr> </table> <p>2. 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18. CORRESPONDENCE ADDRESS

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Country	USA	Telephone	(248) 948-202	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin		Registration No. (Attorney Agent)	33,772	
Signature				Date	September 27, 2001

PATENT

(Practitioner's Docket No. IN-5384)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William C. NORRIS
Josef RADEMACHER

US Serial No. Herewith

Filed: September 27, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: COATING SYSTEM AND METHOD FOR COATING A SUBSTRATE WITH A
POWDER-BASED COATING COMPOSITION INCLUDING A COLOR EFFECT-
PROVIDING PIGMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK894923445US Date of Deposit September 27, 2001

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x	Cited References

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Marjorie Ellis

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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10229-9249550

PATENT

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Assistant Commissioner of Patents
Washington, D.C. 20231

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☒ Application
Specification on

19

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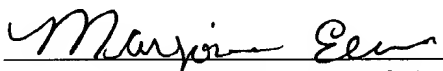
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Marjorie Ellis

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